



AASM Board of Directors Conflict of Interest Policy

A. Levels of Conflict of Interest

1. *Level 1* – Persons with a current level 1 conflict of interest cannot hold the position in question unless the conflict is resolved.
2. *Level 2* – Persons with a current level 2 conflict of interest can hold the position in question but must both disclose the conflict and recuse themselves from AASM activities related to the specific conflict.
3. *Level 3* – Persons with a current level 3 conflict of interest can hold the position in question but must disclose the conflict.

B. Conflict of Interest Criteria

1. **Board of Directors.** The BOD is held to the highest conflict of interest standard, as members have ultimate responsibility for all activities of the AASM and have the highest public visibility as representatives of the Academy.

a. Level 1

- 1) Membership in paid or unpaid industry/corporate (for profit) boards of directors or advisory boards related to sleep.
- 2) Direct ownership or ownership by a spouse or children of *either* more than 5% of a company selling sleep products or services *or* stock in such a company of value \geq \$25,000. (*The ownership of sleep centers by practicing sleep physicians is excluded*)
- 3) Employment by manufacturers of sleep related diagnostic or therapeutic devices or medications, defined as 50% or more of total yearly non-investment income derived from such a commercial entity.
- 4) Acceptance of payments for speaking engagements from industry, except from an unrestricted educational grant or an ACCME accredited program.
- 5) Membership in an industry speaker's bureau.
- 6) Recipient of a personal gift (value $>$ \$500) provided by a sleep related business.

b. Level 2

- 1) Recipient of a research or travel grant from a commercial entity.
- 2) Recipient of a personal gift of value \$250-\$500 provided by a sleep related business.
- 3) Discounted or free use of material or equipment of value \geq \$250 provided by a sleep related business
- 4) Direct ownership or ownership by a spouse or children of stock in an individual company selling sleep products or services of greater than \$10,000 but less than \$25,000. (*The ownership of sleep centers by practicing sleep physicians is excluded*)
- 5) Ownership by the individual or a spouse or children of a vendor of sleep related durable medical equipment. (*The dispensing of durable medical equipment by sleep centers and laboratories is excluded*).

c. Level 3

- 1) Recipient of a research or travel grant from a governmental or not for profit entity.
- 2) Service on the Board of Directors or Medical Advisory Board of another professional organization.



American Academy of Sleep Medicine

CONFLICT OF INTEREST DISCLOSURE

Please circle "YES" or "NO" for each question. If you answer "YES" to any questions, provide full details of all such arrangements, including the entity, type of activity and amount of compensation on a separate sheet.

Full Name: _____

Institution: _____

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|-----|--|-----|----|
| 1. | Do you hold membership in paid or unpaid (for profit) industry/corporate boards of directors or advisory boards related to sleep? | YES | NO |
| 2. | Do you, your spouse or your children own stock in an individual company selling sleep products or services of greater than \$10,000 but less than \$25,000 (mutual funds or ownership of a sleep center by a practicing sleep physician is excluded)? | YES | NO |
| 3. | Do you, your spouse or your children own <i>either</i> more than 5 percent of a company selling sleep products or services <i>or</i> stock in such a company of value greater than or equal to \$25,000 (mutual funds or ownership of a sleep center by a practicing sleep physician is excluded)? | YES | NO |
| 4. | Are you employed by manufacturers of sleep related diagnostic or therapeutic devices or medications, defined as 50 percent or more of total yearly non-investment income derived from such a commercial entity? | YES | NO |
| 5. | Have you accepted payments for speaking engagements from industry within the last year or have contracted to accept such payments in the future (unrestricted educational grants excluded)? | YES | NO |
| 6. | Are you a member of an industry speaker's bureau? | YES | NO |
| 7. | Have you received a personal gift valued at \$250 to \$500 provided by a sleep related business within the last year? | YES | NO |
| 8. | Have you received a personal gift valued at greater than \$500 provided by a sleep related business within the last year? | YES | NO |
| 9. | Have you received discounted or free use of material or equipment of value greater than or equal to \$250 provided by a sleep related business within the last year? | YES | NO |
| 10. | Have you received a research or travel grant from a commercial entity within the last year? | YES | NO |
| 11. | Have you received a research or travel grant from a governmental or not for profit entity within the last year? | YES | NO |
| 12. | Do you serve on the board of directors or medical advisory board of a professional organization other than the AASM? | YES | NO |

I have read the American Academy of Sleep Medicine Policy on Conflicts of Interest and certify that the information provided is current and correct and that I am in compliance with American Academy of Sleep Medicine policy.

Signature: _____ Date: _____